

# MASLOV'S COMPLEX GERM AND THE WEYL-MOYAL ALGEBRA IN QUANTUM MECHANICS AND IN QUANTUM FIELD THEORY

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ABSTRACT. The paper is a survey of some author's results related with the Maslov-Shvedov method of complex germ and with quantum field theory. The main idea is that many results of the method of complex germ and of perturbative quantum field theory can be made more simple and natural if instead of the algebra of (pseudo)differential operators one uses the Weyl algebra (operators with Weyl symbols) with the Moyal  $*$ -product.

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## INTRODUCTION

This paper is a survey of some author’s results related with the Maslov–Shvedov method of complex germ [2] and with perturbative quantum field theory. These results are, shortly, the following.

Firstly, the results from the theory of quantum mechanical Schrodinger equation. The Maslov–Shvedov method makes it possible to give a simple exposition of the method of canonical operator on a Lagrangian manifold with complex germ [2–5] of asymptotic solution of the Cauchy problem for the Schrodinger equation. It turns out that many Maslov–Shvedov’s formulas become more simple and get a natural mathematical interpretation if in the Schrodinger equation one chooses the Weyl (symmetric) ordering of multiplication and differentiation operators. In particular, the transport equation describes the transport of half-forms along the classical trajectory, and the transport of Maslov–Shvedov wave packets is given by the action of the operator of the Weil representation of the metaplectic group corresponding to the tangent symplectic transformation to the Hamiltonian flow. Besides that, one obtains a simple and natural definition of the Maslov index modulo 4, related with the complex germ method at a point, which seemingly did not appear in the literature. A closed exposition of these results is given in §1.

Secondly, the results from perturbative quantum field theory. Here introducing the infinite dimensional analog of the Weyl algebra allows one not only to interpret the quantum field theory Maslov–Shvedov complex germ obtained in [2] by complicated computations (or, more precisely, to obtain a result close to that result of [2]), but, generally, it allows one to give an exposition of the main results of perturbative quantum field theory not using subtraction of infinities from the Hamiltonian of a free field and normal ordering of operators. The Weyl

algebra plays the role of an extended algebra of operators in the Fock space, where the  $*$ -product corresponds to the composition of operators, while the usual commutative product of functions corresponds to normally ordered product of operators. This result seems very important for understanding free and perturbative quantum field theory. A closed exposition of these results on the simplest example of the  $\varphi^4$  model of quantum field theory in four-dimensional space-time is contained in §2.

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## 1. COMPLEX GERM AND THE WEYL ALGEBRA IN QUANTUM MECHANICS

**1.1. The Schrodinger equation.** The Schrodinger equation reads

$$(1) \quad -ih \frac{\partial \psi}{\partial t} + \hat{H}(t, -ih \frac{\partial}{\partial q_1}, \dots, -ih \frac{\partial}{\partial q_n}, q_1, \dots, q_n) \psi = 0.$$

Here  $\psi(t, q_1, \dots, q_n)$  is an unknown complex valued function (the wave function of a quantum mechanical system),  $H(t, p, q)$  is the Hamiltonian of the corresponding system of classical mechanics,  $q = (q_1, \dots, q_n)$ ,  $p = (p_1, \dots, p_n)$ . This equation is written in such a way that after substitution, instead of  $\psi$ , of the *quasiclassical asymptotics*

$$(2) \quad \psi = a(t, q_1, \dots, q_n) e^{i \frac{S(t, q_1, \dots, q_n)}{h}}$$

( $a$  and  $S$  are real functions varying very slowly when compared with the number  $h$ ), in the principal approximation as  $h \rightarrow 0$  (*the quasiclassical limit*) one would obtain the *Hamilton-Jacobi equation*

$$(3) \quad \frac{\partial S}{\partial t} + H(t, \frac{\partial S}{\partial q_1}, \dots, \frac{\partial S}{\partial q_n}, q_1, \dots, q_n) = 0$$

for the function  $S$ .

The form of the Schrodinger equation (1) has some ambiguity in the general case. It is related with the fact that the operators of multiplication by a function of  $q_i$  and differentiation with respect to  $q_i$ , in general, do not commute. Hence one should, in general, choose an ordering of these operators in the quantum Hamiltonian  $\hat{H}$ . In the case of a standard mechanical system without constraints, in which

$$(4) \quad H(t, p, q) = \sum \frac{p_i^2}{2m_i} + U(t, q),$$

there is no such ambiguity. However, more general and deep considerations require to overcome this ambiguity. The usual way is to put the

operators of differentiation with respect to  $q_i$  to the right of operators of multiplication by a function.

**1.2. Asymptotic Cauchy problem.** Let us pose the asymptotic Cauchy problem for the Schrodinger equation (1): take oscillating initial data

$$(5) \quad \psi_0(q_1, \dots, q_n) = a_0(q) e^{iS_0(q)/h},$$

and let us look for an oscillating function  $\psi(t, q)$  of the form (2), which turns into  $\psi_0$  for  $t = 0$  and satisfies equation (1) up to  $o(h)$ . To that end, two equations should hold: the Hamilton–Jacobi equation (3) and the *transport equation*, obtained by equating coefficients before  $h$  in the Schrodinger equation, into which the quasiclassical solution (2) is substituted. It is not difficult to see that, in the case when all the operators  $\frac{\partial}{\partial q_i}$  are put to the right of the operators  $q_i$ , the transport equation reads

$$(6) \quad \begin{aligned} \frac{\partial a}{\partial t} + \sum_i \frac{\partial a}{\partial q_i} H_{p_i}(t, q_1, \dots, q_n, \frac{\partial S}{\partial q_1}, \dots, \frac{\partial S}{\partial q_n}) \\ + \frac{a}{2} \sum_{i,j} H_{p_i p_j}(t, q_1, \dots, q_n, \frac{\partial S}{\partial q_1}, \dots, \frac{\partial S}{\partial q_n}) \frac{\partial^2 S}{\partial q_i \partial q_j} = 0. \end{aligned}$$

Thus, our asymptotic Cauchy problem has been reduced to the Cauchy problem for the system of equations (3,6). It is well known that the Cauchy problem for the Hamilton–Jacobi equation amounts to integration of the system of ordinary *characteristic* Hamilton differential equations

$$(7) \quad \frac{dq_i}{dt} = \frac{\partial H}{\partial p_i}, \quad \frac{dp_i}{dt} = -\frac{\partial H}{\partial q_i}.$$

(See, for example, [6], Ch. 4, or [1], Ch. 2.) Assume that this problem is already solved. How can one find the function  $a(t, q)$ ? The transport equation is an ordinary differential equation for the function  $a$ , giving its behavior along the trajectories of the system of ordinary differential equations (7), where  $p_i = \frac{\partial S}{\partial q_i}$ . Considering particular cases (4) from standard quantum mechanics gives a solution of the form

$$(8) \quad a(t, q(t)) = a_0(q(0)) \frac{1}{\sqrt{\det \left( \frac{\partial q_i(t)}{\partial q_j(0)} \right)}},$$

where  $(p_i(t), q_i(t))$  is a characteristic, i. e., a solution of the Hamilton equations. Indeed, let us differentiate  $\frac{\partial q_i(t)}{\partial q_j(0)}$  with respect to time:

$$(9) \quad \begin{aligned} \frac{d}{dt} \frac{\partial q_i(t, q(0))}{\partial q_j(0)} &= \frac{\partial H_{p_i}}{\partial q_j(0)} = \sum H_{p_i p_k} \frac{\partial p_k}{\partial q_j(0)} + \sum H_{p_i q_l} \frac{\partial q_l}{\partial q_j(0)} \\ &= \sum H_{p_i p_k} \frac{\partial^2 S}{\partial q_k \partial q_l} \frac{\partial q_l}{\partial q_j(0)} + \sum H_{p_i q_l} \frac{\partial q_l}{\partial q_j(0)}, \end{aligned}$$

whence

$$(10) \quad \begin{aligned} \frac{da(t, q(t))}{dt} &= \frac{\partial a}{\partial t} + \sum H_{p_i} \frac{\partial a}{\partial q_i} \\ &= -\frac{1}{2}a \left( \sum H_{p_i p_k} \frac{\partial^2 S}{\partial q_i \partial q_k} + \sum H_{p_i q_i} \right). \end{aligned}$$

This equation differs from the transport equation (6) by the term

$$\frac{1}{2}a \sum H_{p_i q_i},$$

which is zero in the standard case (4). It turns out that here the point is the ordering of non-commuting operators  $-ih\frac{\partial}{\partial q_i}$  and  $q_i$  in the Hamiltonian. If we choose a “right” ordering (recall that above we have arbitrarily put  $-ih\frac{\partial}{\partial q_i}$  to the right of  $q_j$ ), then the difference between equations (10) and (6) will disappear. The choice of a “right” ordering is the subject of the following two subsections.

**1.3. The Weil representation.** As a simplest example consider the Hamiltonian  $H = pq$  with  $n = 1$ . For it, equation (10) requires the choice

$$(11) \quad \hat{H} = -ihq \frac{\partial}{\partial q} - \frac{ih}{2} = -\frac{ih}{2} \left( q \cdot \frac{\partial}{\partial q} + \frac{\partial}{\partial q} \cdot q \right)$$

instead of  $-ihq \frac{\partial}{\partial q}$  chosen above. That is, operators  $-ih\frac{\partial}{\partial q_i}$  and  $q_i$  should belong to the Hamiltonian symmetrically, without a prescription what stands to the right and what stands to the left. For formalization of these requirements, we need some information on the symplectic group.

Consider the general quantum quadratic Hamiltonians

$$(12) \quad \hat{H} = \sum \frac{1}{2} a_{jk} q_j q_k - \frac{ih}{2} b_{jk} \left( q_j \frac{\partial}{\partial q_k} + \frac{\partial}{\partial q_k} q_j \right) - \frac{h^2}{2} c_{jk} \frac{\partial}{\partial q_j} \frac{\partial}{\partial q_k}.$$

Here  $a_{jk}$  and  $c_{jk}$  are symmetric real matrices,  $b_{jk}$  is an arbitrary real matrix. In the Cauchy problem let us put the initial condition

$$(13) \quad \psi_0 = \psi_{Z,p_0} = \exp \frac{i}{h} \left( \frac{1}{2} \sum Z_{ij} q_i q_j + \sum p_0^j q_j \right).$$

Here  $Z = (Z_{ij})$  is a symmetric complex matrix,  $p_0$  is a real vector. Assume that the matrix  $Z$  has positive definite imaginary part, then function (13) rapidly decreases at infinity (*Gaussian wave packet*). It turns out that, as it is not difficult to check by a direct computation, formula (8) gives in this case not only asymptotic but exact solution of the Cauchy problem:

$$(14) \quad \psi(t, q) = \frac{\psi_{(AZ+B)(CZ+D)^{-1}, ((CZ+D)^T)^{-1} p_0}}{\sqrt{\det(CZ+D)}} e^{-\frac{i}{2h} p_0^T (CZ+D)^{-1} C p_0}.$$

Here the sign  $T$  denotes transposing;  $A, B, C, D$  are matrices which can be found in the following way. The characteristics equations read

$$(15) \quad \begin{aligned} dq_i/dt &= H_{p_i} = \sum_j b_{ji} q_j + \sum_j c_{ij} p_j, \\ dp_i/dt &= -H_{q_i} = -\sum_j a_{ij} q_j - \sum_j b_{ij} p_j \end{aligned}$$

with the initial conditions

$$(16) \quad p_i(0) = \sum_j Z_{ij} q_j(0) + p_0^i.$$

These are linear equations, hence, the evolution operator at the time  $t$  is a linear operator

$$(17) \quad \exp t \begin{pmatrix} -b & -a \\ c & b^T \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix}.$$

In addition, this operator preserves the Poisson bracket of any two functions, as any evolution operator of the canonical Hamilton equations, i. e., it preserves the bivector field

$$(18) \quad \eta = \sum \frac{\partial}{\partial p_i} \wedge \frac{\partial}{\partial q_i}.$$

In the language of matrices this condition means that

$$(19) \quad \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} 0 & E \\ -E & 0 \end{pmatrix} \begin{pmatrix} A^T & C^T \\ B^T & D^T \end{pmatrix} = \begin{pmatrix} 0 & E \\ -E & 0 \end{pmatrix},$$

where  $E$  is the unit matrix. Such linear operators are called symplectic, they form the symplectic group  $\text{Sp}(2n, \mathbb{R})$ . The set of symmetric complex matrices  $Z$  with positive definite imaginary part is called the

*Siegel upper half-plane* (cf. [7]); denote it by  $\mathcal{SG}$ . The group  $\mathrm{Sp}(2n, \mathbb{R})$  acts on the half-plane  $\mathcal{SG}$  by the formula

$$(20) \quad Z \mapsto (AZ + B)(CZ + D)^{-1}.$$

Equivalently, this action can be defined by means of evolution at the time  $t$  of the matrix Riccati equation

$$(21) \quad \dot{Z} + ZcZ + bZ + Zb^T + a = 0,$$

which is obtained by differentiating the action (20) with respect to  $t$ .

Formulas (13), (14) define the action of the group  $\mathrm{Sp}(2n, \mathbb{R})$  on the set of Gaussian wave packets. But this action is two-valued: to make it single-valued, one must choose one of the two continuous branches of the square root from  $\det(CZ + D) \neq 0$ ,  $Z \in \mathcal{SG}$ . Hence a two-fold covering of the group  $\mathrm{Sp}(2n, \mathbb{R})$  has a single-valued action on the set of Gaussian wave packets. This covering is called the *metaplectic group*; denote it by  $\mathrm{Mp}(2n, \mathbb{R})$ .

It turns out that the action of the group  $\mathrm{Mp}(2n, \mathbb{R})$  on the set of Gaussian wave packets is uniquely extended by continuousness to the action on the Schwartz space  $S = S(\mathbb{R}^n)$  of complex valued smooth functions  $\psi(q_1, \dots, q_n)$  rapidly decreasing at infinity, and also to unitary action on the space  $L_2(\mathbb{R}^n)$  of square integrable functions and to the action on the dual to  $S$  space  $S' = S'(\mathbb{R}^n)$  of tempered distributions. Also the product of matrices yields the composition of operators. This representation of the group  $\mathrm{Mp}(2n, \mathbb{R})$  is called the *Weil representation*, cf. [8,9].

The Weil representation is uniquely, up to a constant factor, characterized by the following property. Conjugation by an operator  $U$  corresponding to the matrix  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$ , preserves the  $2n$ -dimensional vector space of operators with the basis

$$(22) \quad (q_1, \dots, q_n, ih \frac{\partial}{\partial q_1}, \dots, ih \frac{\partial}{\partial q_n})$$

and acts on this space by the matrix  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$ . This is obtained by exponentiating from the fact that the commutator with the Hamiltonian (12) also preserves this space, and acts on it by the matrix  $\begin{pmatrix} -b & -a \\ c & b^T \end{pmatrix}$ , up to the factor  $ih$ . This property implies the uniqueness of the Weil representation as follows. If  $U'$  is another operator with the same property, then the operator  $U'U^{-1}$  commutes with the

operators  $q_j$  and  $ih\frac{\partial}{\partial q_j}$ , and hence it is multiplication by a constant, as it is not difficult to show.

In particular, the matrix  $\begin{pmatrix} E & B \\ 0 & E \end{pmatrix}$  acts by multiplication by the function  $\exp\left(\frac{i}{2h}\sum_{j,k} B_{jk}x_jx_k\right)$ ; the matrix  $\begin{pmatrix} A & 0 \\ 0 & (A^T)^{-1} \end{pmatrix}$  acts by composition of a linear change of coordinates given by the matrix  $A$ , and multiplication by  $\sqrt{\det A}$ . Finally, the matrix  $\begin{pmatrix} 0 & -E \\ E & 0 \end{pmatrix}$  acts (up to a constant factor) by the Fourier transform:

$$(23) \quad (F_h\psi)(q) = \frac{1}{\sqrt{(2\pi h)^n}} \int e^{-i\sum q_j y_j/h} \psi(y) dy.$$

This transform exchanges the operators  $q_j$  and  $ih\frac{\partial}{\partial q_j}$  (up to sign); the square of this transform is the change of variables  $q \rightarrow -q$ . In quantum mechanics the Fourier transform of the wave function is called its momentum representation.

The above matrices generate the group  $\mathrm{Sp}(2n, \mathbb{R})$ , which gives a proof of existence of an action of the group  $\mathrm{Sp}(2n, \mathbb{R})$ , defined up to a factor, with the above described commutation relations with the operators (22).

Note also that exponentiating of operators (22) yields an action of the so called *Heisenberg group*  $\mathcal{H}_n$  on the space of functions. Namely, the operator  $a_1q_1 + \dots + a_nq_n$  acts by multiplication by the function  $e^{i\sum a_jq_j/h}$ , and the operator  $ih\sum b_i\frac{\partial}{\partial q_i}$  acts by the change of variables  $q_i \rightarrow q_i + b_i$ . The multiplication in the group  $\mathcal{H}_n$  is defined with the help of the formula

$$(24) \quad e^{\hat{a}}e^{\hat{b}} = e^{\hat{a}+\hat{b}} \cdot e^{c/2}, \quad \hat{a}\hat{b} - \hat{b}\hat{a} = c$$

(here  $c$  is a number). This action is compatible with the action of the group  $\mathrm{Mp}(2n, \mathbb{R})$  in an obvious sense, so that the space of functions has an action of the *semidirect product* of the groups  $\mathrm{Mp}(2n, \mathbb{R})$  and  $\mathcal{H}_n$ . This group is a central extension of the affine symplectic group (i. e., the semidirect product of the group  $\mathrm{Sp}(2n, \mathbb{R})$  and the group of parallel translations in the space  $\mathbb{R}^{2n}$ ) with the help of the circle. Let us denote the action of an element  $g$  of any of these groups (possibly defined up to a factor) on the space of functions by the symbol

$$U = \rho(g).$$

**1.4. The Weyl calculus.** This is a way to assign to a function  $\varphi(p_1, \dots, p_n, q_1, \dots, q_n)$  an operator  $\hat{\varphi} = \hat{\varphi}(p, q)$  on the space of functions  $\psi(q_1, \dots, q_n)$ , this correspondence being in accordance with the action

of the affine symplectic group, i. e., for any element  $g$  of this group we have

$$(25) \quad \rho(g)\hat{\varphi}(p, q)\rho(g)^{-1} = \widehat{g\varphi}(p, q),$$

where  $(g\varphi)(p, q) = \varphi(g^{-1}(p, q))$ . The correspondence  $\varphi \rightarrow \hat{\varphi}$  possesses also the following properties:

- a)  $((\sum a_i q_i + b_i p_i)^k)^\wedge = (\sum a_i q_i - i\hbar b_i \frac{\partial}{\partial q_i})^k$ ;
- b) a real function  $\varphi$  corresponds to a symmetric operator  $\hat{\varphi}$ , i. e. such that

$$\int \overline{\psi_1} \cdot \hat{\varphi} \psi_2 dq = \int \overline{\hat{\varphi} \psi_1} \cdot \psi_2 dq$$

for any rapidly decreasing functions  $\psi_1, \psi_2$ ;

- c) appropriate continuousness properties, into which we shall not go, see, for example, Hormander's book [10].

Using these properties one can define the operator  $\hat{\varphi}$  for a rather wide class of functions  $\varphi$ . First of all, for polynomials  $\varphi(p, q)$  the operator  $\hat{\varphi}$  is defined uniquely from property (a). For example,

$$(26) \quad (pq)^\wedge = \frac{1}{2}((p+q)^2 - p^2 - q^2)^\wedge = -i\hbar \left( q \frac{\partial}{\partial q} + \frac{1}{2} \right).$$

Similarly, in algebra a way is known to express each polynomial of  $2n$  variables through powers of linear forms. For any homogeneous polynomial of degree  $k$  of  $2n$  variables there exists a unique symmetric  $k$ -linear form of  $2n$  variables (the *polarization* of the polynomial), which gives this polynomial for coinciding arguments. A polylinear form is a tensor of rank  $k$ , i. e. an element of non-commutative algebra of  $2n$  generators. Substituting instead of these generators the operators  $q_i$  and  $-i\hbar \frac{\partial}{\partial q_i}$ , we obtain the required operator. This operation is  $GL(2n, \mathbb{R})$ -invariant.

Further, we have

$$(27) \quad \left( \exp \frac{i}{\hbar} (\sum a_i p_i + b_i q_i) \right)^\wedge = \exp \left( \sum a_i \frac{\partial}{\partial q_i} + \frac{i}{\hbar} b_i q_i \right).$$

Since many functions  $\varphi$  can be expressed as superposition of exponents of linear forms using the inverse Fourier transform:

$$(28) \quad \varphi(p, q) = \frac{1}{(2\pi\hbar)^n} \int (F_h \varphi)(a, b) e^{\frac{i}{\hbar} (\sum a_i p_i + b_i q_i)} da db$$

(see (23)), we obtain a way to find the required operator for a large class of functions. Let us give the explicit formula for the operator  $\hat{\varphi}$ :

$$(29) \quad (\hat{\varphi}\psi)(q) = \frac{1}{(2\pi\hbar)^n} \int \int \varphi(p, (q+y)/2) e^{i \sum (q_i - y_i) p_i / \hbar} \psi(y) dp dy.$$

Further, it is not difficult to compute the formula for the  $*$ -multiplication  $\varphi_1 * \varphi_2$ , i. e., for the function corresponding to composition of operators  $\hat{\varphi}_1 \hat{\varphi}_2$ , so that

$$(\varphi_1 * \varphi_2)^\wedge = \hat{\varphi}_1 \hat{\varphi}_2.$$

For that one should compute the product of two operators of kind (27) by formula (24), and use the inverse Fourier transform (28). Let us give the answer. Denote

$$(30) \quad \{\varphi_1, \varphi_2\} = \sum_{i,j} \omega^{ij} \frac{\partial \varphi_1}{\partial y_i} \frac{\partial \varphi_2}{\partial y_j},$$

where  $y_i = q_i$  for  $1 \leq i \leq n$  and  $y_i = p_{i-n}$  for  $n+1 \leq i \leq 2n$ , and  $\omega^{ij} = \delta_{i,j-n} - \delta_{i-n,j}$ . Then

$$(31) \quad (\varphi_1 * \varphi_2)(y_i) = \exp \left( -\frac{ih}{2} \sum_{i,j} \omega^{ij} \frac{\partial}{\partial y_i} \frac{\partial}{\partial z_j} \right) \varphi_1(y_i) \varphi_2(z_i) \Big|_{z_i=y_i}.$$

This product is usually called the *Moyal product*; it is not difficult to check directly that it is associative.

Finally, the same formula (24) implies that the transport equation has the right form (10) for the Hamiltonian being exponent of a linear form, and hence by linearity for any Hamiltonian. Below the Hamiltonian  $\hat{H}$  and other quantum observables will be understood in the sense of Weyl calculus.

**1.5. Method of complex germ at a point.** Following Maslov and Shvedov [2], let us look for asymptotic solutions of the Schrodinger equation (1) in the form of wave packets

$$(32) \quad \psi(t, q) = f \left( t, \frac{q - q_0(t)}{\sqrt{h}} \right) e^{\frac{i}{h} (\sum p_0^i(t)(q_i - q_0^i(t)) + S(t))}$$

for some functions  $q_0^i(t)$ ,  $p_0^i(t)$ ,  $S(t)$ ,  $f(t, x)$ . Let us call them *Maslov-Shvedov wave packets*. An example of such wave packet is the Gaussian wave packet (13). We will find equations on these functions which will imply that the wave packet (32) satisfies the Schrodinger equation up to  $o(h)$ .

To this end, note that:

1) action of the operator  $\hat{q}_i - q_0^i$  on the function  $\psi$  amounts to action of the operator  $\sqrt{h}x_i$  on the function  $f$ ;

2) action of the operator  $\hat{p}_i - p_0^i$  on the function  $\psi$  amounts to action of the operator  $-i\sqrt{h}\frac{\partial}{\partial x_i}$  on the function  $f$ ;

3) the Schrodinger equation (1) for the function  $\psi$  amounts, up to  $o(h)$ , to the equation

$$\begin{aligned} & (\sum p_0^i \dot{q}_0^i - \dot{S})f + \sqrt{h} \sum (-\dot{p}_0^i x_i - i \dot{q}_0^i \frac{\partial}{\partial x_i})f + i h \frac{\partial f}{\partial t} \\ &= H(p_0, q_0)f + \sqrt{h} \sum (H_{q_i} x_i - i H_{p_i} \frac{\partial}{\partial x_i})f \\ &+ \frac{h}{2} \left( \sum H_{q_i q_j} x_i x_j - i H_{q_i p_j} \left( x_i \frac{\partial}{\partial x_j} + \frac{\partial}{\partial x_j} x_i \right) - H_{p_i p_j} \frac{\partial}{\partial x_i} \frac{\partial}{\partial x_j} \right) f \end{aligned}$$

(all derivatives of the Hamiltonian are taken at the point  $(p_0, q_0)$ ). This equation will be satisfied provided the following system of equations holds:

$$\begin{aligned} (33) \quad & \sum p_0^i \dot{q}_0^i - \dot{S} = H(p_0, q_0), \\ & \dot{q}_0^i = H_{p_i}, \\ & \dot{p}_0^i = -H_{q_i}, \\ & i \frac{\partial f}{\partial t} = \frac{1}{2} \left( \sum H_{q_i q_j} x_i x_j - i H_{q_i p_j} \left( x_i \frac{\partial}{\partial x_j} + \frac{\partial}{\partial x_j} x_i \right) - H_{p_i p_j} \frac{\partial}{\partial x_i} \frac{\partial}{\partial x_j} \right) f. \end{aligned}$$

These equations mean that  $(p_0(t), q_0(t))$  is a classical trajectory,  $S(t)$  is the action along this trajectory, and the function  $f$  satisfies the Schrodinger equation with the quadratic Hamiltonian depending on time, with  $h = 1$ .

This latter equation means the following. The quadratic part of the Hamiltonian at each point of the trajectory gives an infinitesimal symplectic transformation:

$$\begin{aligned} (34) \quad & dx_i/dt = \sum_j H_{q_j p_i} x_j + \sum_j H_{p_i p_j} y_j, \\ & dy_i/dt = - \sum_j H_{q_i q_j} x_j - \sum_j H_{q_i p_j} y_j. \end{aligned}$$

The composition of all these transformations at the time  $t$  gives a metaplectic transformation

$$(35) \quad \left( \begin{pmatrix} A(t) & B(t) \\ C(t) & D(t) \end{pmatrix}, \sqrt{\det(C(t)Z + D(t))} \right),$$

whose action on the function  $f(0, x)$  under the Weil representation gives the function  $f(t, x)$ .

In particular, if we look for the function  $f$  in the form of a Gaussian function

$$(36) \quad f(t, x) = \frac{1}{\sqrt{\det(C(t)Z(0) + D(t))}} \exp\left(\frac{i}{2} \sum Z_{ij}(t) x_i x_j\right),$$

then for the function

$$(37) \quad Z(t) = (A(t)Z(0) + B(t))(C(t)Z(0) + D(t))^{-1}$$

we get a matrix Riccati equation

$$(38) \quad \dot{Z} + ZH_{pp}Z + H_{pq}Z + ZH_{pq}^T + H_{qq} = 0$$

of type (21). The matrix  $Z(t)$  is called the *complex germ*.

It is rather interesting to express these equations in terms of the functions  $q(t)$ ,  $q'(t)$  and the Lagrange function  $F(t, q, q')$ , i. e., to rewrite them in the language of the variational principle. Then equation (34) turns into the Jacobi equation in the theory of second variation, and equation (38) turns into the corresponding matrix Riccati equation, see Gelfand–Fomin’s book [11], cf. [12]. But in the variational calculus the matrix  $Z$  is real; this case will be considered below.

**1.6. Method of canonical operator.** In conclusion we shall briefly discuss the powerful method of canonical operator, due to V. P. Maslov. This method allows one, for example, to write out the asymptotic solution of the Cauchy problem for the Schrodinger equation (see 1.2). Formulas (2), (8), (3) yield this solution for  $t$  sufficiently small, when different characteristics do not intersect each other and  $\det\left(\frac{\partial q_i(t)}{\partial q_j(0)}\right) \neq 0$ . Method of canonical operator shows what happens with the solution after passing through *focal points*, where characteristics intersect each other and the determinant vanishes. To this end, let us represent the solution (2) as a superposition of wave packets (32) satisfying equations (33):

$$(39) \quad \psi(q) = \int e^{\frac{i}{h}(S(\alpha) + p_0(\alpha)(q - q_0(\alpha)))} f\left(\alpha, \frac{q - q_0(\alpha)}{\sqrt{h}}\right) \frac{d\alpha}{h^{n/2}},$$

$\alpha = (\alpha_1, \dots, \alpha_n)$ .

Let us first consider the case when the  $n$ -dimensional submanifold  $(p_0(\alpha), q_0(\alpha))$  of the phase space  $(p, q)$  diffeomorphically projects onto the  $q$ -plane. Let us develop the expression under the exponent into the Taylor series in a vicinity of the point  $\alpha_0$  for which  $q_0(\alpha_0) = q$ , and let us make change of variables

$$\frac{\alpha_0 - \alpha}{\sqrt{h}} = y.$$

We obtain

$$(40) \quad \begin{aligned} S(\alpha) + p_0(\alpha)(q - q_0(\alpha)) &= S(\alpha_0) + \sqrt{h} \sum_i \left( -\frac{\partial S}{\partial \alpha_i} + \sum_j p_0^j \frac{\partial q_0^j}{\partial \alpha_i} \right) y_i \\ &+ h \sum_{i,i'} \left( \frac{1}{2} \frac{\partial^2 S}{\partial \alpha_i \partial \alpha_{i'}} - \sum_j \left( \frac{\partial p_0^j}{\partial \alpha_i} \frac{\partial q_0^j}{\partial \alpha_{i'}} + \frac{1}{2} p_0^j \frac{\partial^2 q_0^j}{\partial \alpha_i \partial \alpha_{i'}} \right) \right) y_i y_{i'} + O(h^{3/2}). \end{aligned}$$

Hence  $\psi(q)$  will not decrease more rapidly than any power of  $h$  only in the case when

$$(41) \quad \frac{\partial S}{\partial \alpha_i} = \sum_j p_0^j \frac{\partial q_0^j}{\partial \alpha_i}, \quad 1 \leq i \leq n.$$

Below we will assume that this equality holds identically. It implies that

$$(42) \quad \sum_j \left( \frac{\partial p_0^j}{\partial \alpha_i} \frac{\partial q_0^j}{\partial \alpha_{i'}} - \frac{\partial p_0^j}{\partial \alpha_{i'}} \frac{\partial q_0^j}{\partial \alpha_i} \right) = 0$$

for all  $i, i'$ . In other words, the symplectic differential 2-form

$$(43) \quad \omega = \sum dp_i \wedge dq_i$$

vanishes on the submanifold  $(p_0(\alpha), q_0(\alpha))$  of the phase space. Maslov called such submanifolds Lagrangian. Conversely, any Lagrangian submanifold diffeomorphically projecting onto the  $q$ -plane, is the graph of the differential of some function  $S(q)$ :

$$(44) \quad p_i(q) = \frac{\partial S}{\partial q_i}, \quad 1 \leq i \leq n.$$

Equality (41) also implies that

$$(45) \quad \begin{aligned} \psi(q) &= e^{\frac{iS(\alpha_0)}{h}} \int e^{-\frac{i}{2} \sum \frac{\partial p_0^j}{\partial \alpha_i} \frac{\partial q_0^j}{\partial \alpha_{i'}} y_i y_{i'}} f \left( \alpha_0, \sum_i \frac{\partial q_0^j}{\partial \alpha_i} y_i \right) dy \\ &+ O(\sqrt{h}) = e^{\frac{iS(\alpha_0)}{h}} \int e^{-\frac{i}{2} y^T Q^T P y} f(\alpha_0, Qy) dy + O(\sqrt{h}) \\ &= \frac{e^{\frac{iS(\alpha_0)}{h}}}{|\det Q|} \int e^{-\frac{i}{2} x^T P Q^{-1} x} f(\alpha_0, x) dx + O(\sqrt{h}) \\ &= e^{iS(q)/h} a(q) + O(\sqrt{h}), \end{aligned}$$

where  $P_i^j = \frac{\partial p_0^j}{\partial \alpha_i}$ ,  $Q_i^j = \frac{\partial q_0^j}{\partial \alpha_i}$ .

Let us now assume that the Lagrangian submanifold has been transformed by the Hamiltonian flow (7) on the phase space at the time  $t$ . What then happens with the functions  $S(q) = S(\alpha_0)$  and  $a(q)$ ?

Recall that on each trajectory the tangent metaplectic transformation (35) arises. Denote it by  $g$ . Then in the formula (45) the following changes will occur:

- 1)  $p_0, q_0$  are transformed by the flow;
- 2)  $S(\alpha_0) \rightarrow \tilde{S}(\alpha_0) = S(\alpha_0) + \text{action along the trajectory}$ ;
- 3)  $P \rightarrow AP + BQ, Q \rightarrow CP + DQ$ ;
- 4)  $f(\alpha_0, x) \rightarrow \rho(g)f(\alpha_0, x)$ .

How is  $a(q)$  transformed? To answer this question let us study what is  $\rho(g)e^{\frac{i}{2}x^T PQ^{-1}x}$ , as promised at the end of 1.5.

Denote  $Z = PQ^{-1}$ . Now  $Z$  is real. Moreover,  $\psi_Z(x) = e^{\frac{i}{2}x^T Zx}$  does not belong now to the Schwartz space and to  $L_2$ . It is the unique, up to proportionality, distribution solution of the system of equations

$$(46) \quad \left(i\frac{\partial}{\partial x_i} + \sum_j Z_{ij}x_j\right)\psi_Z = 0, \quad 1 \leq i \leq n.$$

The transformation  $g$  takes these equations to the equations

$$(47) \quad \sum_j \left(v_{ij}x_j + w_{ij}i\frac{\partial}{\partial x_j}\right)\psi = 0, \quad 1 \leq i \leq n,$$

which, for  $\det(CZ + D) \neq 0$ , are equivalent to the equations on the Gaussian function  $\psi_{(AZ+B)(CZ+D)^{-1}}$ . Moreover, as it is shown by taking the limit in equalities (13), (14) as  $\text{Im } Z \rightarrow 0$ ,  $p_0 = 0$  ( $\text{Im } Z$  is the imaginary part of the matrix  $Z$ ), for  $\det(CZ + D) \neq 0$  we have

$$(48) \quad \begin{aligned} \rho(g)\psi_Z &= \lim_{\text{Im } Z \rightarrow +0} \frac{1}{\sqrt{\det(CZ + D)}} \psi_{(AZ+B)(CZ+D)^{-1}} = \\ &= \frac{e^{i\pi k/2}}{\sqrt{|\det(CZ + D)|}} \psi_{(AZ+B)(CZ+D)^{-1}} \end{aligned}$$

for some integer  $k$  called the *Maslov index*. This index has a purely algebraic definition, see, for example, Hormander's book [10], §21.6. As far as we know, the above simple definition of the Maslov index did not appear in the literature.

In the general case (when  $\det(CZ + D)$  can equal 0) it is easy to see that the system of equations (47) forms a basis of a (real) Lagrangian subspace  $L$  in the  $2n$ -dimensional vector space with the basis

$$(49) \quad (x_1, \dots, x_n, i\frac{\partial}{\partial x_1}, \dots, i\frac{\partial}{\partial x_n}),$$

i. e., an  $n$ -dimensional subspace on which the symplectic form, given by the commutator of operators, vanishes. It is not difficult to see that the solution  $\psi(x) = \psi_L(x)$  of this system is, in general case, up to a constant factor, the product of a function of type  $\psi_Z$  of part of the variables, for a real  $Z$ , and the delta function of the remaining variables (after an appropriate linear change of coordinates  $x$ ). The most degenerate case is the system of equations  $x_i\psi = 0$ ,  $1 \leq i \leq n$ , whose solution is the delta function  $\delta(x)$ .

Thus, we have described the  $\text{Mp}(2n, \mathbb{R})$ -orbit of functions  $\psi_Z$  for real  $Z$ , or, which is the same, the orbit of the function 1 in the projectivization  $PS'$  of the space  $S'$  of distributions. This orbit is isomorphic to the variety of real Lagrangian subspaces of the  $2n$ -dimensional symplectic vector space. This variety is called the *Lagrangian Grassmannian*; denote it by  $\Lambda_n$ . The embedding  $\Lambda_n \rightarrow PS'$  induces a complex line bundle  $\mu$  on the Grassmannian  $\Lambda_n$ , whose fiber at the point  $L \in \Lambda_n$  is the line  $\mathbb{C}\psi_L$ . This bundle has an action of the group  $\text{Mp}(2n, \mathbb{R})$ . Trivializations and transition functions of this bundle can be obtained from formula (48). Let us call this bundle  $\mu$  the *Maslov bundle* (Hormander [10], §21.6, uses another terminology and calls the bundle  $\mu$  the tensor product of the half densities bundle and the Maslov bundle).

Returning to formula (45), we see (assuming that  $f(\alpha_0, x)$  belongs to the Schwartz space with respect to  $x$ ) that under the action of the Hamiltonian flow the function  $a(q)$  is multiplied by

$$\begin{aligned}
 (50) \quad \frac{|\det Q| \sqrt{|\det(CPQ^{-1} + D)|}}{e^{i\pi k/2} |\det(CP + DQ)|} &= \frac{e^{-i\pi k/2}}{\sqrt{|\det(CPQ^{-1} + D)|}} \\
 &= \frac{e^{-i\pi k/2}}{\sqrt{\left| \det \frac{\partial q_i(t)}{\partial q_j(0)} \right|}},
 \end{aligned}$$

if after the transformation by the flow the Lagrangian manifold still diffeomorphically projects onto the  $q$ -plane (i. e., if  $\det(CP + DQ) \neq 0$ ). This result generalizes formula (8).

Let us now return to the integral (39) and consider it in the case when the manifold  $(p_0(\alpha), q_0(\alpha))$  not necessarily diffeomorphically projects onto the  $q$ -plane. In this case let us present the function  $f(\alpha, x)$  as sum of functions  $f_i$ , each of which has the support with respect to the variable  $\alpha$  diffeomorphically projecting onto some Lagrangian plane in the phase space. Then let us apply to these functions linear Hamiltonian flows (15), giving metaplectic transformations  $g_l$ , so that these Lagrangian planes get to the  $q$ -plane. After that let us apply formula (45). We obtain a wave function  $\psi_l(q)$ . Finally, let us apply to these

wave functions the transformations  $\rho(g_l^{-1})$ , and let us take the sum of them. The obtained wave function  $\psi(q)$  (which is, in general, a distribution) is defined correctly up to  $O(\sqrt{\hbar})$  if the Maslov index of any closed curve on the Lagrangian manifold is divisible by 4. The role of function  $a(q)$  is played here by a section of the Maslov bundle on the Lagrangian manifold, induced from the bundle  $\mu$  on the Lagrangian Grassmannian.

Thus, the method of canonical operator assigns a (distribution) wave function  $\psi(q)$ , defined up to  $O(\sqrt{\hbar})$ , to a Lagrangian submanifold and to a section of the Maslov bundle on it. In the case when the Lagrangian submanifold is the graph of differential of a function  $S(q)$ , the wave function has the form (45). Under the evolution given by the Schrodinger equation, the corresponding Hamiltonian flow on the phase space transforms the Lagrangian manifold and the section of the Maslov bundle on it, and hence transforms the wave function  $\psi(q)$ . This gives the global asymptotic solution of the Cauchy problem.

The method of canonical operator has far generalizations. For example, if one integrates not along  $n$ -dimensional but along  $k$ -dimensional ( $k < n$ ) isotropic submanifold, then one obtains the *method of canonical operator on a Lagrangian manifold with complex germ*. The method of complex germ also applies to approximate solution of linear and even non-linear partial differential equations, and not only to the Schrodinger equation. See Maslov's books [3–5].

## 2. THE WEYL ALGEBRA IN QUANTUM FIELD THEORY

**2.1. The Schrodinger equation.** Consider a field theory action functional of the form

$$(51) \quad J = \int_D F(x^0, \dots, x^n, u^1, \dots, u^m, u_{x^0}^1, \dots, u_{x^n}^m) dx^0 \dots dx^n,$$

where  $x^0 = t, x^1, \dots, x^n$  are the independent variables,  $u^1, \dots, u^m$  are the dependent variables,  $u_{x^j}^i = \frac{\partial u^i}{\partial x^j}$ , and integration goes over an  $(n+1)$ -dimensional surface  $D$  (the graph of the functions  $u^i(x)$ ) with the boundary  $\partial D$  in the space  $\mathbb{R}^{m+n+1}$ . The main simplest example for our considerations is the  $\varphi^4$  model in four dimensions:

$$(52) \quad J = \int \left( \frac{1}{2} \left( u_t^2 - \sum_{j=1}^3 u_{x^j}^2 - m^2 u^2 \right) - \frac{1}{4!} g u^4 \right) dt dx^1 dx^2 dx^3.$$

The Schrodinger equation for the model (51) reads

$$(53) \quad i\hbar \frac{\partial \Psi}{\partial t} = \int \hat{H} \left( t, \mathbf{x}, u^i(\mathbf{x}), \frac{\partial u^i}{\partial \mathbf{x}}, -i\hbar \frac{\delta}{\delta u^i(\mathbf{x})} \right) \Psi d\mathbf{x}.$$

Here  $\mathbf{x} = (x_1, \dots, x_n)$ ;

$\Psi$  is an unknown complex valued functional of the variable  $t$  and of functions  $u^i(\mathbf{x})$ ,  $1 \leq i \leq m$ ;

$H$  is the density of the Hamiltonian of the theory, which equals the Legendre transform of the Lagrangian  $F$  with respect to the variables  $u_t^i$ ; denote the dual variables to  $u_t^i$  by  $p^i$ ;

$\widehat{H}$  is the density of the quantum Hamiltonian, obtained from  $H$  by the substitution of the variational differentiation operator  $-ih\frac{\delta}{\delta u^i(\mathbf{x})}$  instead of  $p^i$ .

As in quantum mechanics, here the problem arises of ordering of the operators  $u^i(\mathbf{x})$  and  $-ih\frac{\delta}{\delta u^i(\mathbf{x})}$  in the quantum Hamiltonian. Let us not consider this problem now, all the more in the case of the  $\varphi^4$  model there is no such ambiguity.

The Schrodinger equation (53) is obviously relativistically non-invariant. But one can write out a relativistically invariant version of this equation, in which the surface  $t = \text{const}$  in the space-time is changed by an arbitrary space-like surface, and the functional  $\Psi$  depends on this surface and on functions  $u^i(s)$  on it, where  $s = (s_1, \dots, s_n)$  are parameters on the surface. This relativistically invariant version is obtained in exactly the same way as the usual quantum mechanical Schrodinger equation, by the formal substitution into the generalized field theory Hamilton-Jacobi equation, see [6]. In physical literature a close equation is called the Tomonaga-Schwinger equation [13], and the problem of solving this equation is called quantization on space-like surfaces.

One can give a rigorous mathematical sense to the Schrodinger equation (53) and its relativistically invariant generalization. To this end, the conventional usual way is to consider weakly continuously differentiable sufficient number of times functionals on a nuclear space of functions  $u^i(s)$ , for example, on the Schwartz space. In this interpretation, for example, the variational derivative  $\frac{\delta\Psi}{\delta u^i(\mathbf{x})}$  is a distribution in  $\mathbf{x}$ , the second variational derivative  $\frac{\delta^2\Psi}{\delta u^i(\mathbf{x})\delta u^{i'}(\mathbf{x}' )}$  is a distribution in  $(\mathbf{x}, \mathbf{x}')$ , etc.

However, it is not difficult to see that with such understanding the Schrodinger equation, say, for the  $\varphi^4$  model,

$$(54) \quad ih\frac{\partial\Psi}{\partial t} = \int \left( -\frac{h^2}{2} \frac{\delta^2}{\delta u(\mathbf{x})^2} + \frac{1}{2}(\text{grad } u(\mathbf{x}))^2 + \frac{m^2}{2}u(\mathbf{x})^2 + \frac{g}{4!}u(\mathbf{x})^4 \right) \Psi d\mathbf{x},$$

does not have nonzero four times differentiable solutions. Indeed, consider the second derivative  $\frac{\partial^2\Psi}{\partial t^2}$ . In the expression for this derivative

following from equation (54), we will have the term

$$\int \int \frac{\delta^2}{\delta u(\mathbf{x})^2} u(\mathbf{y})^2 \Psi \, d\mathbf{x} d\mathbf{y},$$

which, as it is easy to see, has no sense (the second variational derivative cannot be restricted as a distribution to the diagonal  $\mathbf{x} = \mathbf{x}'$ ).

One can give physical arguments as well in favor of the statement that states cannot be functionals. Indeed, if it were so (as it was assumed in past, see, for example, [14,15], etc.), then the values of these functionals or related quantities, in principle, could be measured. On the other hand, it is known (see, for example, §1 of the book [16] by Berestetsky, Lifschitz, and Pitaevsky) that in relativistic quantum dynamics, quantum mechanical quantities like energy and momentum are theoretically non-measurable, and the only measurable quantities are the scattering sections.

Besides that, one would like to have that in the case of free scalar field given by a quadratic Hamiltonian, the Schrodinger equation be solved exactly, similarly to the finite dimensional case. This implies that the space of states and the space of operators have an action of infinite dimensional symplectic group. Indeed, the evolution operators of classical field equations from one space-like surface to another are canonical transformations, preserving the field theory Poisson bracket. This follows from the generalized field theory canonical Hamilton equations (see [6]). In the case of free field these operators are linear, i. e., symplectic. Hence the action of quantum Hamiltonians should admit a compatible action of a group of symplectic transformations of the space of functions  $(u^i(s), p^i(s))$ .

The traditional action of an infinite dimensional symplectic group is the projective Segal–Shale–Weil–Berezin representation in the Fock space [14,15]. However, this infinite dimensional symplectic group does not suit for our purposes, as shown in the important paper [17]. In this paper it is shown that the evolution operators of the Klein–Gordon equation from one space-like surface to another, in general, do not belong to that version of infinite dimensional symplectic group which acts on the Fock space. This is also in accordance with the physical arguments above. The evolution operators belong to the group of continuous symplectic transformations of the Schwartz space of functions  $(u^i(s), p^i(s))$ . It is this group that should act on the space of quantum Hamiltonians.

To achieve this, it is natural, as in §1, to introduce, instead of the algebra of differential operators on the space of functions, the infinite

dimensional generalization of the Weyl algebra. Let us give a definition of this generalization.

## 2.2. Infinite dimensional Weyl algebra.

2.2.1. *Definition of the Weyl algebra.* The Weyl algebra is constructed starting from a symplectic vector space. Consider the symplectic Schwartz space of rapidly decreasing functions  $(u^i(s), p^i(s))$  with the Poisson bracket

$$(55) \quad \{\Phi_1, \Phi_2\} = \sum_i \int \left( \frac{\delta \Phi_1}{\delta u^i(s)} \frac{\delta \Phi_2}{\delta p^i(s)} - \frac{\delta \Phi_1}{\delta p^i(s)} \frac{\delta \Phi_2}{\delta u^i(s)} \right) ds$$

of two functionals  $\Phi_l(u^i(\cdot), p^i(\cdot))$ ,  $l = 1, 2$ . Let us write it in the form

$$(56) \quad \{\Phi_1, \Phi_2\} = \int \sum_{i,j} \omega^{ij} \frac{\delta \Phi_1}{\delta y^i(s)} \frac{\delta \Phi_2}{\delta y^j(s)} ds,$$

where  $y^i = u^i$  for  $1 \leq i \leq m$  and  $y^i = p^{i-m}$  for  $m+1 \leq i \leq 2m$ , and  $\omega^{ij} = \delta_{i,j-m} - \delta_{i-m,j}$ , as in 1.4. The Weyl algebra is defined as the algebra of infinitely differentiable functionals  $\Phi(u^i(\cdot), p^i(\cdot))$  with respect to the Moyal  $*$ -product

$$(57) \quad \begin{aligned} & (\Phi_1 * \Phi_2)(y^i(\cdot)) \\ &= \exp \left( -\frac{i\hbar}{2} \int \sum_{i,j} \omega^{ij} \frac{\delta}{\delta y^i(s)} \frac{\delta}{\delta z^j(s)} ds \right) \Phi_1(y^i(\cdot)) \Phi_2(z^i(\cdot)) \Big|_{z^i(\cdot)=y^i(\cdot)}. \end{aligned}$$

This product is not everywhere defined: for example,  $u^i(s) * p^i(s)$  is undefined. We shall not go into details of the domain of multiplication, as well as into details of defining topology in the Weyl algebra. This should be the subject of a separate investigation. Note only that if all necessary integrals and series are defined and absolutely convergent, then the  $*$ -product is associative. This is a formal check similar to the finite dimensional case. In this paper we will be interested only in some concrete computations in the Weyl algebra. In algebraic quantum field theory [17,18,19] a somewhat different definition of Weyl algebra is adopted.

Below we will see that the Weyl algebra allows one to construct a logically self-consistent theory of free quantum scalar field and to simplify drastically perturbative theory of interacting quantum fields.

2.2.2. *The problem of states.* Thus, operators in equations (53), (54), and others will be understood as elements of the Weyl algebra. And how will be understood states  $\Psi$ ? They already cannot be functionals of  $u^i(\cdot)$ , since the Weyl algebra does not act on them. In the case of a finite dimensional symplectic vector space, the Weyl algebra acts canonically on *half-forms* on a Lagrangian subspace. In coordinates  $q_1, \dots, q_N, p_1, \dots, p_N$  half-forms look  $f(q_1, \dots, q_N) (dq_1 \dots dq_N)^{1/2}$ . The fact that the Weyl algebra acts on half-forms, can be seen, for example, as follows. The operator  $\frac{i}{\hbar}(p_i q_j)^\wedge$  of infinitesimal linear change of coordinates from the Weyl algebra acts as

$$\frac{1}{2} \left( q_j \frac{\partial}{\partial q_i} + \frac{\partial}{\partial q_i} q_j \right) = q_j \frac{\partial}{\partial q_i} + \frac{1}{2} \delta_{ij},$$

and this is the action on half-forms.

What are half-forms on an infinite dimensional space of functions  $u^i(s)$ ? Seemingly, one cannot say anything definite at this point. At least, half-forms cannot be constructed from finite dimensional spaces, analogously to the construction of measures on an infinite dimensional space. Author's attempts to construct half-forms failed to be success (see, for example, [20]).

But actually, in order to obtain physically important quantities for free field, we need not states: it suffices to use only operators, as will be shown below. States are “non-observable neither physically nor mathematically”. Hence we will consider, instead of equations (53), (54), the Heisenberg equation for an element  $\Phi(t; u^i(\cdot), p^i(\cdot))$  of the Weyl algebra:

$$(58) \quad i\hbar \frac{\partial \Phi}{\partial t} = \left[ \int H(t, \mathbf{x}, u^i(\mathbf{x}), \frac{\partial u^i}{\partial \mathbf{x}}, p^i(\mathbf{x})) d\mathbf{x}, \Phi \right]$$

and its relativistically invariant generalization, where

$$(59) \quad [\Phi_1, \Phi_2] = \Phi_1 * \Phi_2 - \Phi_2 * \Phi_1$$

is the commutator in the Weyl algebra. The classical limits of equations (58) are the field theory Hamilton equations

$$(60) \quad \frac{\partial \Phi}{\partial t} = \{ \Phi, \int H d\mathbf{x} \},$$

equivalent to the Euler–Lagrange equations.

### 2.3. Quantization of free scalar field.

2.3.1. *Solution of the Heisenberg equation for free scalar field.* Solution of equation (58) is given by the formal equality

$$(61) \quad \Phi(t_1) = U(t_0, t_1) * \Phi(t_0) * U(t_0, t_1)^{-1},$$

where

$$(62) \quad U(t_0, t_1) = T \exp \int_{t_0}^{t_1} \int \frac{1}{i\hbar} H(t, \mathbf{x}) dt d\mathbf{x},$$

and  $T \exp \int$  means the ordered exponent (the multiplicative integral):

$$(63) \quad T \exp \int_{t_0}^{t_1} \Gamma(t) dt = 1 + \int_{t_0 < t < t_1} \Gamma(t) dt + \int_{t_0 < t' < t < t_1} \Gamma(t) * \Gamma(t') dt dt' + \dots$$

(Cf. 2.4.1 below.)

Let us first consider the free scalar field ( $g = 0$ ). In this case

$$H(t, \mathbf{x}) = \frac{1}{2}(p(\mathbf{x})^2 + (\text{grad } u(\mathbf{x}))^2 + m^2 u(\mathbf{x})^2)$$

is a quadratic expression not depending on  $t$ , hence we can omit the sign  $T$  before exponent. Due to the fact that the Hamiltonian

$$(64) \quad H_0 = \int H(\mathbf{x}) d\mathbf{x}$$

is quadratic, we have

$$(65) \quad \frac{1}{i\hbar} [H_0, \Phi] = \{\Phi, H_0\},$$

therefore,  $\Phi(t_1; u(\cdot), p(\cdot))$  is obtained from  $\Phi(t_0; u(\cdot), p(\cdot))$  by the linear symplectic change of variables

$$(66) \quad (u(t_0, \mathbf{x}), p(t_0, \mathbf{x}) = u_t(t_0, \mathbf{x})) \rightarrow (u(t_1, \mathbf{x}), p(t_1, \mathbf{x}) = u_t(t_1, \mathbf{x}))$$

given by the evolution operator of the canonical Hamilton equations, i. e., by the evolution operator of the Klein–Gordon equation

$$(67) \quad \square u - m^2 u = -\frac{\partial^2 u}{\partial t^2} + \sum_{j=1}^n \frac{\partial^2 u}{(\partial x^j)^2} - m^2 u = 0$$

from the Cauchy surface  $t = t_0$  to the Cauchy surface  $t = t_1$ . Here it is rather essential that the evolution operator is a continuous linear invertible operator in the Schwartz space of functions  $(u(\mathbf{x}), p(\mathbf{x}))$ . Similar statement is true for the evolution of the functional  $\Phi$  between any two space-like Cauchy surfaces. (For non-quadratic Hamiltonians and non-linear classical evolution operators similar statement is not true.)

Hence we can identify the Weyl algebras corresponding to different space-like surfaces, by means of the evolution operators of the Klein–Gordon equation. (Cf. [17].) In other words, we can consider the Weyl algebra  $W_0$  of the symplectic vector space of solutions  $u(t, \mathbf{x})$  of the Klein–Gordon equation on the whole space-time. The symplectic form on this vector space is given by taking the Cauchy data

$$(68) \quad u(t, \mathbf{x}) \rightarrow (u(s), p(s))$$

on any space-like surface  $x = x(s)$ . (The quantity  $p(s)$  is proportional to the normal derivative of the function  $u(t, \mathbf{x})$  at the point  $s$ .) Below we will fix this identification of the Weyl algebras of various space-like surfaces.

2.3.2. *Green functions.* Let us now consider the free scalar field with a source, i. e. put

$$(69) \quad H(t, \mathbf{x}, u, p) = \frac{1}{2}(p(\mathbf{x})^2 + (\text{grad } u(\mathbf{x}))^2) + \frac{m^2}{2}u(\mathbf{x})^2 + \mathbf{j}(t, \mathbf{x})u(\mathbf{x}),$$

where  $\mathbf{j}(t, \mathbf{x})$  is a smooth function with compact support (a source). Denote the corresponding formal element (62) of the Weyl algebra by  $U_{\mathbf{j}}(t_0, t_1)$ , and the Hamiltonian by

$$(70) \quad H_{\mathbf{j}}(t) = H_0 + \int \mathbf{j}(t, \mathbf{x})u(\mathbf{x}) d\mathbf{x},$$

to show dependence on the source. Then, if the support of the function  $\mathbf{j}(t, \mathbf{x})$  is situated between the planes  $t = t_{\min}$  and  $t = t_{\max}$ , then the formal element

$$(71) \quad R_{\mathbf{j}}(t_0) = U_0(t_{\max}, t_0) * U_{\mathbf{j}}(t_{\min}, t_{\max}) * U_0(t_0, t_{\min})$$

of the Weyl algebra does not depend on  $t_{\min}, t_{\max}$ . Besides that, we have

$$(72) \quad R_{\mathbf{j}}(t_1) = U_0(t_0, t_1) * R_{\mathbf{j}}(t_0) * U_0(t_0, t_1)^{-1}.$$

Hence the element  $R_{\mathbf{j}}(t_0) = R_{\mathbf{j}}(t_0; u(\cdot), p(\cdot))$  correctly defines an element of the Weyl algebra of any space-like surface under our identification, i. e. an element  $R_{\mathbf{j}}$  of the Weyl algebra  $W_0$ . This element equals

$$(73) \quad R_{\mathbf{j}} = R_{\mathbf{j}}(u(\cdot, \cdot)) = T \exp \int_{-\infty}^{\infty} \int \frac{1}{i\hbar} \mathbf{j}(t, \mathbf{x})u(t, \mathbf{x}) dt d\mathbf{x},$$

where

$$(74) \quad u(t, \mathbf{x}) = \exp \left( -\frac{t - t_0}{i\hbar} H_0 \right) * u(\mathbf{x}) * \exp \left( \frac{t - t_0}{i\hbar} H_0 \right)$$

is understood as a functional on the space of solutions  $u(\cdot, \cdot)$  of the Klein-Gordon equation, i. e. as an element of the algebra  $W_0$ . (See 2.4.1 below.) Let us emphasize that expression (74) is purely symbolic, since the element  $\exp(t_1 - t_0)H_0/(ih)$  does not exist in the Weyl algebra, because already  $H_0 * H_0$  does not exist. Let us call the element  $R_{\mathbf{j}}$  the *generating functional of operator Green functions of a free field*. Let us also call the coefficients of the Taylor decomposition of the functional  $R_{\mathbf{j}}$  with respect to  $\mathbf{j}$  at the point  $\mathbf{j} \equiv 0$ ,

$$(75) \quad (ih)^N \frac{\delta^N R_{\mathbf{j}}}{\delta \mathbf{j}(t_1, \mathbf{x}_1) \dots \delta \mathbf{j}(t_N, \mathbf{x}_N)} \Big|_{\mathbf{j} \equiv 0} = Tu(t_1, \mathbf{x}_1) * \dots * u(t_N, \mathbf{x}_N),$$

by the operator Green functions of a free field; here the symbol  $T$  denotes  $*$ -product ordered by decreasing of the variables  $t_i$ . The operator Green functions are distributions of  $(t_1, \mathbf{x}_1), \dots, (t_N, \mathbf{x}_N)$  with values in  $W_0$ , symmetric with respect to permutations of indices.

Let us now pass to the scalar Green functions. To this end, define a linear functional on the algebra  $W_0$ , called the vacuum average of an element  $\Phi$  from  $W_0$  and denoted by  $\langle \Phi \rangle$  or  $\langle 0|\Phi|0 \rangle$ , in the following way. The momentum representation

$$(76) \quad \tilde{u}(p_0, \dots, p_n) = \frac{1}{(2\pi)^{(n+1)/2}} \int e^{-i \sum p_j x^j} u(x^0, \dots, x^n) dx$$

of a solution  $u(t, \mathbf{x})$  of the Klein-Gordon equation, where  $t = x^0$ , is a distribution supported on two sheets of the mass surface  $p_0 = \pm \sqrt{\mathbf{p}^2 + m^2}$ , where  $\mathbf{p} = (p_1, \dots, p_n)$ ; in this paper we restrict ourselves by theories with *nonzero mass*,  $m > 0$ . Hence  $u(t, \mathbf{x})$  can be uniquely decomposed into the sum

$$(77) \quad u(t, \mathbf{x}) = u_+(t, \mathbf{x}) + u_-(t, \mathbf{x})$$

of a positive frequency solution  $u_+(t, \mathbf{x})$ , whose Fourier transform is supported on the sheet  $p_0 > 0$ , and a negative frequency solution  $u_-(t, \mathbf{x})$ , whose Fourier transform is supported on the sheet  $p_0 < 0$ . We have

$$(78) \quad \begin{aligned} [u_+(t_1, \mathbf{x}_1), u_+(t_2, \mathbf{x}_2)] &= [u_-(t_1, \mathbf{x}_1), u_-(t_2, \mathbf{x}_2)] = 0, \\ [\tilde{u}_-(p), \tilde{u}_+(p')] &= -h\delta(p + p')\delta(p^2 - m^2), \quad p_0 < 0, \quad p'_0 > 0, \end{aligned}$$

where  $p^2 = p_0^2 - \sum_{j=1}^n p_j^2$ . Define  $\langle \Phi \rangle$  as the unique (not everywhere defined) functional with the following properties:

$$(79) \quad \langle \Phi * u_-(t, \mathbf{x}) \rangle = \langle u_+(t, \mathbf{x}) * \Phi \rangle = 0, \quad \langle 1 \rangle = 1.$$

Define the Green functions by the equality

$$(80) \quad \langle u(t_1, \mathbf{x}_1) \dots u(t_N, \mathbf{x}_N) \rangle = \langle Tu(t_1, \mathbf{x}_1) * \dots * u(t_N, \mathbf{x}_N) \rangle,$$

and their generating functional by the equality

$$(81) \quad Z(\mathbf{j}) = \langle R_{\mathbf{j}} \rangle.$$

A computation left to the reader (apply Fourier transform with respect to  $\mathbf{x}$ ; cf. the textbook [21] by Bogolyubov and Shirkov) shows that the two-point Green function turns out to be equal to the Feynman propagator

$$(82) \quad \langle u(t, \mathbf{x}) u(t', \mathbf{x}') \rangle \sim = ih \frac{\delta(p + p')}{p^2 - m^2 + i\varepsilon},$$

and the generating functional of the Green functions is given by the usual expression

$$(83) \quad Z(\mathbf{j}) = \exp \frac{-i}{2h} \int \frac{\tilde{\mathbf{j}}(p) \tilde{\mathbf{j}}(-p)}{p^2 - m^2 + i\varepsilon} dp.$$

2.3.3. *The Fock space.* Define the standard *Fock space*, linearly generated by the vectors

$$(84) \quad |p_{(1)}, \dots, p_{(N)}\rangle = \tilde{u}_+(p_{(1)}) \dots \tilde{u}_+(p_{(N)}) |0\rangle$$

(after integration over  $p_{(i)}$  with a function  $f(p_{(1)}, \dots, p_{(N)})$ ) for all  $N$ ,  $p_{(1)}, \dots, p_{(N)}$  such that  $p_{(i)}^2 = m^2$ ,  $p_{0(i)} > 0$ . In other words, the Fock space is the direct sum over all  $N$  of spaces of symmetric functions of  $N$  variables  $p_{(i)}$ . On this space one introduces the structure of a Hilbert space, namely, the direct sum over all  $N$  of the spaces  $L_2$  of symmetric functions of  $N$  variables  $p_{(i)}$  with respect to the natural Lorentz-invariant measure

$$(85) \quad \delta(p^2 - m^2) dp = \frac{d\mathbf{p}}{2p_0}$$

on the mass surface  $p^2 = m^2$ .

One can formally assign an operator in the Fock space to an element  $\Phi$  of the Weyl algebra  $W_0$ , with the matrix elements

$$(86) \quad \langle 0 | \tilde{u}_-(-p'_{(1)}) \dots \tilde{u}_-(-p'_{(N')}) * \Phi * \tilde{u}_+(p_{(1)}) \dots \tilde{u}_+(p_{(N)}) | 0 \rangle.$$

But for many important operators responsible for local dynamics, for example, for the Hamiltonian  $\Phi = H_0$ , the expression (86) is undefined.

Note two properties of this correspondence, which it is not difficult to check.

1)  $*$ -product of functionals corresponds to composition of operators, so that this correspondence is a (not everywhere defined) homomorphism of the Weyl algebra  $W_0$  to the algebra of operators in the Fock space.

2) Complex conjugation of functionals goes to the Hermitian conjugation of operators in the Hilbert space. In particular, the operator  $\tilde{u}_+(p)$  is Hermitian conjugate to the operator  $\overline{\tilde{u}_+(p)} = \tilde{u}_-(-p)$ .

**2.4. Quantization of interacting fields.** We start with the formal decomposition of a solution of the Heisenberg equation (58) in the  $\varphi^4$  model into the perturbation series with respect to the coupling constant  $g$ . For that, recall the perturbation theory of linear differential equations.

*2.4.1. Perturbation theory of linear differential equations.* Consider the equation

$$(87) \quad \frac{dv}{dt} = A(t)v(t) + B(t)v(t),$$

where  $A(t)$  is a linear operator applied to a vector  $v(t)$ , and  $B(t)$  is a possibly nonlinear operator which is considered as a small perturbation. Let

$$(88) \quad U_0(t_1, t_2) = T \exp \int_{t_1}^{t_2} A(t) dt$$

be the evolution operator of the non-perturbed equation. Let us find the series for the evolution operator  $U(t_1, t_2)$  of the perturbed equation (87) from time  $t_1$  to time  $t_2$  by powers of the perturbation  $B$ . To this end, let us use the formula

$$(89) \quad U(t_1, t_2) = U_0(t_1, t_2) + \int_{t_1}^{t_2} U_0(t, t_2) B(t) U(t_1, t) dt.$$

Iterating this formula, we shall find a decomposition of the operator  $U$ , in the general case, as a sum over trees, on whose vertices the terms of the Taylor series of the operator  $B(t)$  stand, and on the edges the operators  $U_0$  stand. In the particular case when the operator  $B(t)$  is linear we obtain the formula

$$(90) \quad U(t_1, t_2) = U_0(t_0, t_2) \left( T \exp \int_{t_1}^{t_2} \tilde{B}(t) dt \right) U_0(t_0, t_1)^{-1},$$

where

$$(91) \quad \tilde{B}(t) = U_0(t_0, t)^{-1} B(t) U_0(t_0, t).$$

We have already used this formula in the derivation of the relation (73).

2.4.2. *Formal perturbation series for the Heisenberg equation in the  $\varphi^4$  model.* Let us apply this theory for the Heisenberg equation (58) in the  $\varphi^4$  model. Denote the evolution operator of the Heisenberg equation for free field from time  $t_0$  to time  $t_1$  by  $V_0(t_0, t_1)$ , so that formally we have

$$(92) \quad V_0(t_0, t_1)\Phi = U_0(t_0, t_1) * \Phi * U_0(t_0, t_1)^{-1},$$

where

$$(93) \quad U_0(t_0, t_1) = \exp \frac{t_1 - t_0}{i\hbar} H_0.$$

(Recall that this formal expression does not exist in the Weyl algebra.) Then, by (90), the perturbation series for the evolution operator  $V(t_1, t_2)$  of the Heisenberg equation in the  $\varphi^4$  model is given by the formula

$$(94) \quad V(t_1, t_2)\Phi = V_0(t_0, t_2)[P(t_1, t_2) * V_0(t_0, t_1)^{-1}\Phi * P(t_1, t_2)^{-1}],$$

where

$$(95) \quad \begin{aligned} P(t_1, t_2) &= U_0(t_0, t_2)^{-1} * U(t_1, t_2) * U_0(t_0, t_1) \\ &= T \exp \int_{t_1}^{t_2} \int \frac{1}{i\hbar} g u(t, \mathbf{x})^4 / 4! dt d\mathbf{x}. \end{aligned}$$

The coefficient before  $g^N$  of the latter series equals

$$(96) \quad \int \frac{1}{(i\hbar)^N 4!^N N!} T u(t_{(1)}, \mathbf{x}_{(1)})^4 * \dots * u(t_{(N)}, \mathbf{x}_{(N)})^4 \prod dt_{(i)} d\mathbf{x}_{(i)},$$

where integration goes over the strip  $t_1 \leq t_{(i)} \leq t_2$ . Absolutely the same integral describes the perturbation series for the evolution operator between any two space-like surfaces, but the integration goes over the strip between these surfaces.

2.4.3. *Feynman diagrams.* Let us compute the expression under the integral in the Weyl algebra. To this end, one must firstly find the formula for the product of  $N$  elements of the Weyl algebra. This is left to the reader, starting from the case  $N = 3$ . Let us give the answer for the expression under the integral (96). It equals the sum over the *Feynman diagrams*, i. e. over the 4-valent non-oriented graphs with  $N$  vertices, and to each graph one assigns an element of the Weyl algebra according to the following rules:

- 1) to each vertex one assigns the factor  $(i\hbar)^{-1}$ ;
- 2) to each external tail (i. e. to an edge with one vertex) one assigns the factor  $u(t_{(i)}, \mathbf{x}_{(i)})$ , where  $i$  is the number of the vertex;

3) to each edge with two vertices  $i$  and  $j$  one assigns the factor

$$(97) \quad -\frac{i\hbar}{2}T\{u(t_{(i)}, \mathbf{x}_{(i)}), u(t_{(j)}, \mathbf{x}_{(j)})\} = -i\hbar D(x_{(i)} - x_{(j)}),$$

where  $D(x)$  is certain Green function of the Klein-Gordon equation, whose Fourier transform equals

$$(98) \quad \tilde{D}(p) = \mathcal{PV} \frac{1}{p^2 - m^2}$$

( $\mathcal{PV}$  is the Cauchy principal value);

4) to the whole diagram one assigns the factor  $1/M$ , where  $M$  is the number of symmetries of the diagram, i. e. permutations of the vertices and the edges of the diagram preserving the graph.

After that all factors are multiplied (in the usual sense, and not in the sense of  $*$ -product).

Thus, the power of the number  $h$  for a Feynman diagram equals the difference between the number of internal edges and the number of vertices, i. e. it equals to the number of independent loops in the diagram minus the number of its connected components.

We see that, for example, in the case of multiple edges the expression under the integral contains the square of the function  $D(x)$ . This is a distribution with singularities on the light cone, and its square is non-integrable, say, for  $n = 3$ , because integral of the square of the expression (98) diverges at large momenta. Hence the perturbation series is given, in general, by divergent integrals.

But in the *tree approximation* (sum over diagrams without loops) we formally obtain, from the perturbation series for the Heisenberg equation, the perturbation series for the evolution operator of the non-linear classical field equation

$$(99) \quad \square u - m^2 u = gu^3/3!.$$

The check of this statement is left to the reader as a useful exercise in perturbation theory.

2.4.4. *An attempt to define dynamical evolution in quantum field theory.* The next attempt to “quantize fields” could be an attempt to construct the dynamical evolution in quantum field theory using, for each space-like surface, some non-commutative deformation of the algebra of functionals on the phase space with the Poisson bracket. Similarly to the linear case, in which we have chosen the deformation being the Weyl algebra, which admits the symplectic group of transformations, the required deformation in the general case could be “adapted” to the non-linear canonical transformations of the phase space, given by

the evolution operators of the Hamilton equations, i. e., of the field equations. To each pair of space-like surfaces one would assign an isomorphism of the corresponding deformed algebras of functionals, whose classical limit as  $\hbar \rightarrow 0$  would coincide with the isomorphism of the Poisson algebras of functionals, given by the transform of the classical evolution.

A possible example of such deformation in the finite dimensional case is the so called Fedosov deformation quantization of symplectic manifolds [22]. This construction uses the bundle of Weyl algebras on the phase space with the flat connection (*Abelian connection* in Fedosov's terminology), originating from a symplectic connection on the tangent bundle to the phase space. However, author's attempts to use this construction failed to be success. Besides that, in the finite dimensional case any Fedosov deformation is non-canonically isomorphic to the Weyl algebra. So it seems that for the purposes of quantum field theory, the Weyl algebra is the most appropriate deformation of the algebra of functions on the phase space, even in the non-linear case.

Therefore the next attempt to construct quantization of fields will be an attempt to construct, for each pair of parameterized space-like surfaces  $\mathcal{C}_1, \mathcal{C}_2$ , an isomorphism of the corresponding Weyl algebras  $W_{\mathcal{C}_1} \rightarrow W_{\mathcal{C}_2}$ . If  $\mathcal{C}_1, \mathcal{C}_2$  are two parameterizations of one and the same space-like surface, then this isomorphism should coincide with the action of the change of variables  $s$  on functions  $u^i(s), p^i(s)$ . (Here  $u^i(s)$  are transformed like functions, and  $p^i(s)$  like densities.) For three space-like surfaces  $\mathcal{C}_1, \mathcal{C}_2, \mathcal{C}_3$  the isomorphism  $W_{\mathcal{C}_1} \rightarrow W_{\mathcal{C}_3}$  should coincide with the composition of isomorphisms  $W_{\mathcal{C}_1} \rightarrow W_{\mathcal{C}_2}$  and  $W_{\mathcal{C}_2} \rightarrow W_{\mathcal{C}_3}$ . The family of isomorphisms should be also symmetric with respect to the symmetry group of the theory (in the case of  $\varphi^4$  model this is the Poincare group, i. e. the group containing the Lorentz transformations and the parallel translations). Finally, the classical limit of the isomorphism  $W_{\mathcal{C}_1} \rightarrow W_{\mathcal{C}_2}$  as  $\hbar \rightarrow 0$  should coincide with the isomorphism of Poisson algebras of functions given by the classical evolution.

*2.4.5. Dynamical evolution and perturbation theory. The subtraction program.* Let us try to construct the dynamical evolution, as described in the previous subsection, for the model  $\varphi^4, n = 3$ , in the framework of perturbation theory. Here the main idea should be the physical idea, due to Bethe, exposed at the beginning of the Introduction to Bogolyubov–Shirkov's book [13], the idea which lead to the renormalization program. Let us recall this idea in our context. Assume that

the required dynamical evolution exists and describes real physical processes for interacting fields. But in the framework of perturbation theory, we can obtain only approximations of some order with respect to the coupling constant, which by themselves can give divergent quantities, because the field by itself, without interaction, has no physical sense. And the quantities which do have physical sense, such as the assumed dynamical evolution, can be given in perturbation theory by divergent expressions. For example, this means that the quantum Hamiltonian of the “right” dynamical evolution equals the classical Hamiltonian plus corrections in perturbation theory, which can be infinite. The formal purpose, however, is to construct with the help of these heuristic constructions a “real” family of isomorphisms of Weyl algebras, as pointed out in the preceding subsection.

Thus, the main idea will be an attempt to “subtract infinities from the perturbation series”, so as to obtain convergent integrals and so that this subtraction of infinities have the heuristic sense of adding infinite summands to the quantum Hamiltonian, which yields a family of (finite) isomorphisms of the Weyl algebras. Note that if we restrict ourselves by the space-like surfaces  $t = \text{const}$ , then we just look for a one-parametric group of automorphisms of the Weyl algebra of functionals  $\Phi(u^i(\mathbf{x}), p^i(\mathbf{x}))$ .

*2.4.6. Diagram rules in the  $p$ -representation.* In order to subtract infinities from the integrals corresponding to Feynman diagrams, it is convenient first to pass to the momentum representation. Let us state the rules of writing integrals in the  $p$ -representation.

1) To each internal edge one assigns some orientation and a 4-momentum  $p$ , after which one assigns the factor  $-ih\tilde{D}(p)$  (98).

2) To each external edge one assigns the orientation from the vertex outside and a 4-momentum  $p$ , after which one assigns the factor  $\tilde{u}(p)$ .

3) To each vertex one assigns the factor

$$(100) \quad (ih)^{-1}\tilde{\chi}(\pm p_{(1)} \pm p_{(2)} \pm p_{(3)} \pm p_{(4)}),$$

where  $\pm p_{(i)}$  is the momentum outgoing from the vertex along the  $i$ -th edge (the sign plus is taken if the edge is oriented outside of the vertex, and the sign minus in the opposite case);  $\tilde{\chi}(p)$  is the Fourier transform of the characteristic function  $\chi(x)$  of the strip between the space-like surfaces, i. e.  $\chi(x) = 1$  if  $x$  belongs to the strip and  $\chi(x) = 0$  otherwise.

4) To the whole diagram one assigns the symmetry factor  $1/M$ .

After that all the factors are multiplied, and one integrates over all the momenta  $p$ .

2.4.7. *The “fish” diagram.* Let us first consider the simplest one-loop diagram “fish” with two vertices (Fig. 1). To it the following integral

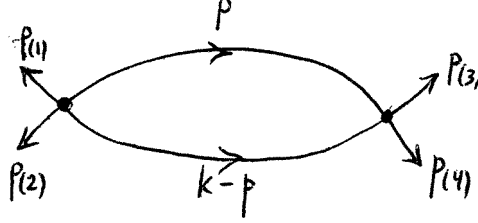


FIGURE 1.

corresponds:

$$(101) \quad \int \frac{\tilde{\chi}(p_{(1)} + p_{(2)} + k)\tilde{\chi}(p_{(3)} + p_{(4)} - k)}{(p^2 - m^2)((k - p)^2 - m^2)} \prod_{i=1}^4 \tilde{u}(p_{(i)}) dp_{(i)} dp dk,$$

in which we have omitted for shortness the constant and the signs  $\mathcal{PV}$ . This integral logarithmically diverges for large  $p$ . The divergence for large  $k$  is absent because of the oscillating behavior of the numerator of the fraction. The divergence with respect to  $p_{(i)}$  is also absent because the distribution  $\tilde{u}(p_{(i)})$  is supported on the mass surface  $p_{(i)}^2 = m^2$  and rapidly decreases at infinity, since the function  $u(t, \mathbf{x})$  rapidly decreases at infinity in space directions.

Note that the function  $\tilde{\chi}(p)$  satisfies the identity

$$(102) \quad \int \tilde{\chi}(q_{(1)} + k)\tilde{\chi}(q_{(2)} - k) dk = \tilde{\chi}(q_{(1)} + q_{(2)}),$$

which is obtained by Fourier transform from the equality  $\chi^2 = \chi$ . If we subtract from the fraction under the integral the fraction

$$(103) \quad \frac{\tilde{\chi}(p_{(1)} + p_{(2)} + k)\tilde{\chi}(p_{(3)} + p_{(4)} - k)}{(p^2 - m^2)^2},$$

then the integral becomes convergent. Heuristically, from the initial integral we thus subtract the infinite expression

$$(104) \quad \int \frac{dp}{(p^2 - m^2)^2} \int \tilde{\chi}(p_{(1)} + p_{(2)} + p_{(3)} + p_{(4)}) \prod_{i=1}^4 \tilde{u}(p_{(i)}) dp_{(i)},$$

which corresponds to subtraction from the Hamiltonian of the infinite term

$$(105) \quad ihg^2 \int \frac{dp}{(p^2 - m^2)^2} \cdot \frac{u^4}{4!}.$$

Hence at this level the subtraction program gives a correctly defined family of isomorphisms of the Weyl algebras, satisfying all the necessary requirements. This family of isomorphisms, however, is defined not uniquely, but only up to adding a finite summand  $ihg^2cu^4/4!$  to the Hamiltonian.

2.4.8. *The two-loop diagram.* Let us now consider the two-loop diagram with two vertices (Fig. 2). To it the following integral corre-

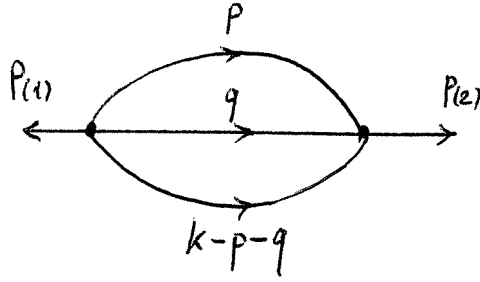


FIGURE 2.

sponds:

$$(106) \quad \int \frac{\tilde{\chi}(p_{(1)} + k)\tilde{\chi}(p_{(2)} - k)}{(p^2 - m^2)(q^2 - m^2)((k - p - q)^2 - m^2)} dp dq dk,$$

in which we have omitted for shortness the constant before the integral, the symbols  $\mathcal{PV}$  and the factor  $\prod_{i=1}^2 \tilde{u}(p_{(i)}) dp_{(i)}$ . The integral is divergent for large  $p, q$ . To make it convergent, one can, for instance, subtract from the fraction

$$(107) \quad \frac{1}{(p^2 - m^2)(q^2 - m^2)((k - p - q)^2 - m^2)}$$

its Taylor polynomial with respect to  $k$  at  $k = 0$  of the second order, i. e. the terms of the zeroth, first and second order in the Taylor development. Then the remainder will be an integral of partial derivatives with respect to  $k$  of the third order, and it is not difficult to see that it would give the convergent integral instead of (106). But in this process in the numerator the integrals

$$(108) \quad \begin{aligned} & \int k_i \tilde{\chi}(p_{(1)} + k) \tilde{\chi}(p_{(2)} - k) dk, \\ & \int k_i k_j \tilde{\chi}(p_{(1)} + k) \tilde{\chi}(p_{(2)} - k) dk, \end{aligned}$$

will occur, which are the Fourier transforms with respect to the variable  $p_{(1)} + p_{(2)}$  of the expressions  $\chi(x) \frac{\partial \chi}{\partial x_i}(x)$  and  $\frac{\partial \chi}{\partial x_i}(x) \frac{\partial \chi}{\partial x_j}(x)$ . And these expressions are not defined as distributions. Here the problem is that the characteristic function  $\chi(x)$  is not differentiable.

Thus, we see that our program of defining dynamical evolution in quantum field theory fails on the two-loop diagram.

**2.4.9. Dynamical evolution in the quasiclassical approximation.** However, in the one-loop approximation, i. e., in the sum over the diagrams with no more than one loop, the program of defining dynamical evolution works well. We come to the following theorem.

**Theorem.** In the  $\varphi^4$  model of quantum field theory in four dimensional space-time the dynamical evolution exists in the one-loop approximation of perturbation theory.

This theorem means that to each pair of space-like surfaces  $\mathcal{C}_1, \mathcal{C}_2$  one can assign, with the help of the subtraction procedure, an element of the Weyl algebra  $W_0$  of the type

$$(109) \quad P_{\mathcal{C}_1, \mathcal{C}_2}(u(\cdot, \cdot)) = e^{iS(u(\cdot, \cdot))/h} a(u(\cdot, \cdot)),$$

so that conjugation by the element  $e^{iS(u(\cdot, \cdot))/h}$  in the Weyl algebra yields, up to  $O(h)$ , the evolution operator of the classical field equation (99), and

$$(110) \quad P_{\mathcal{C}_1, \mathcal{C}_3} = P_{\mathcal{C}_2, \mathcal{C}_3} * P_{\mathcal{C}_1, \mathcal{C}_2} + o(h).$$

Besides that, as the surface  $\mathcal{C}_1$  tends to  $t = -\infty$  and the surface  $\mathcal{C}_2$  to  $t = \infty$ , the element  $P_{\mathcal{C}_1, \mathcal{C}_2}$  tends to the  $S$ -matrix up to  $o(h)$  (see the next Subsection).

Proof of this theorem is based on the fact that the only one-loop diagrams giving divergent integrals are the diagrams containing the diagram “fish” from 2.4.7. Making the same subtraction procedure with them as with the “fish” diagram, we shall obtain the required element  $P_{\mathcal{C}_1, \mathcal{C}_2}$ .

This theorem is in accordance with the results from the book [2] by Maslov and Shvedov, who constructed complex germ in quantum field theory using the Bogolyubov  $S$ -matrix (regarding this  $S$ -matrix see the next Subsection).

**2.4.10. The scattering matrix.** Thus, for the two-loop diagram the subtraction program meets the difficulty that the characteristic function  $\chi(x)$  of the strip is not differentiable. Let us slightly change the view-point on the dynamical evolution, and let us look not for a family of isomorphisms of the Weyl algebras of space-like surfaces, related with

the integral (95), but for *one* element of the Weyl algebra, playing the role of the evolution in the whole space-time and related with the integral

$$(111) \quad T \exp \int_{-\infty}^{\infty} \int \frac{1}{i\hbar} g(t, \mathbf{x}) u(t, \mathbf{x})^4 / 4! dt d\mathbf{x},$$

where  $g(t, \mathbf{x})$  is a smooth function, say, with compact support. In other words, let us change the function  $g\chi(x)$  in our considerations by a differentiable function  $g(x)$ , and consider the Lagrangian

$$(112) \quad F(x^\mu, u, u_{x^\mu}) = \frac{1}{2} u_{x^\mu} u_{x_\mu} - \frac{m^2}{2} u^2 - \frac{g(x)}{4!} u^4.$$

The perturbation series for this Lagrangian is given by the integral (111).

If we develop this integral according to the rules from 2.4.3 and 2.4.6, i. e. develop the summand

$$(113) \quad \int \frac{1}{(i\hbar)^N 4!^N N!} g(x_{(1)}) \dots g(x_{(N)}) T u(x_{(1)})^4 * \dots * u(x_{(N)})^4 \prod dx_{(i)},$$

where integration goes over the whole space-time, then the obtained integrals, related with the Feynman diagrams, will exactly coincide with the Feynman integrals from Bogolyubov–Shirkov's book [13], with the only difference: instead of function (98), in the Feynman integrals the propagator

$$(114) \quad \tilde{D}_c(p) = \frac{1}{p^2 - m^2 + i\varepsilon}.$$

stands. This propagator differs from  $\tilde{D}(p)$  by a multiple of the delta-function  $\delta(p^2 - m^2)$ , hence this difference does not affect on the divergences at large momenta. Hence we can apply to our integrals the subtraction procedure from the Bogolyubov–Shirkov's book (the Bogolyubov–Parasyuk theorem). In fact, we have already begun to apply it in 2.4.7, 2.4.8.

Having applied this procedure, we will obtain an element  $P(g)$  of the Weyl algebra  $W_0$ , which is a formal series over the powers of the function  $g(x)$  and which is defined not uniquely, but only up to adding finite terms to the Lagrangian. Conjugation by the element  $P(g)$  in the Weyl algebra gives, up to  $O(\hbar)$ , the perturbation series for the evolution operator of the classical field equation

$$(115) \quad \square u(x) - m^2 u(x) = g(x) u^3(x) / 3!$$

from  $t = -\infty$  to  $t = \infty$ .

Further, consider the operator in the Fock space corresponding to the element  $P(g)$  (see 2.3.3). Denote it by  $S(g)$ . We state that the operator  $S(g)$  is exactly the  $S$ -matrix constructed in the book [13] by Bogolyubov and Shirkov. This  $S$ -matrix is obtained from the element  $P(g)$  by the change everywhere of function  $\tilde{D}(p)$  by the Feynman propagator  $\tilde{D}_c(p)$ , the  $*$ -product of functionals by the composition of operators, and the usual product of functionals (for example,  $u^4(x)$ ) by the normally ordered product of operators. Indeed, by the Wick theorems (see [13]), operations with products of functionals in the Weyl algebra, such as  $*$ -products with the sign  $T$ , exactly correspond to operations with normally ordered products of operators in the Fock space, with the only difference: the function  $D(x)$  should be replaced in these formulas by the function  $D_c(x)$ . And the subtraction procedure in the Weyl algebra exactly goes to the subtraction procedure for operators in the Fock space.

Thus, the operator  $S(g)$  is the *Bogolyubov  $S$ -matrix*, or the *scattering matrix*. This  $S$ -matrix satisfies the *Lorentz invariance*, *unitarity* and *causality* conditions. In the Weyl algebra these conditions go to the corresponding conditions for the element  $P(g)$ . The Lorentz invariance condition is obvious:

$$(116) \quad LP(L^{-1}g) = P(g)$$

for a Lorentz transformation  $L$ . The unitarity condition means that

$$(117) \quad P(g) * \overline{P(g)} = 1.$$

Finally, the causality condition states that for two functions  $g_1(x)$  and  $g_2(x)$ , coinciding for  $t \leq t_0$ , the element  $P(g_1) * P(g_2)^{-1}$  does not depend on the behavior of the functions  $g_1, g_2$  for  $t < t_0$ . These conditions are the natural substitutes of the conditions for the dynamical evolution. In particular, the causality condition is the natural substitute of the condition of dependence of the evolution operator of a linear differential equation on the coefficient functions of this equation. In Bogolyubov–Shirkov’s book [13] it is shown that these conditions define the  $S$ -matrix uniquely, up to adding finite terms to the Lagrangian. Hence it is natural to postulate the existence of the elements  $P(g)$  and  $S(g)$  outside of the framework of perturbation theory.

The physical sense of the  $S$ -matrix is the following. Assume that, as the function  $g(x)$ , remaining a function with increasing compact support, tends to the constant function  $g = \text{const}$  (the *adiabatic interaction switch off*), the elements  $S(g)$  and  $P(g)$  tend to some elements  $S$  (the physical  $S$ -matrix) and  $P$ . Then the square of the absolute value of the matrix element (86) (with  $\Phi = P$ ) of the physical  $S$ -matrix is

the density of probability of the event that  $N$  colliding particles, flying before collision with 4-momenta  $p_{(1)}, \dots, p_{(N)}$ , after collision turn into  $N'$  particles flying away with 4-momenta  $p'_{(1)}, \dots, p'_{(N')}$ .

Up to  $o(h)$  the element  $P$  gives the operator  $P_{\mathcal{C}_1, \mathcal{C}_2}$  of quasiclassical dynamical evolution from  $\mathcal{C}_1: t = -\infty$  to  $\mathcal{C}_2: t = \infty$ , see 2.4.9. The definition of dynamical evolution (up to  $o(h)$ ), suitable outside perturbation theory, can be found in 2.4.4.

Let us also comment on the non-uniqueness of  $S$ -matrix. Actually it depends not only on the initial Lagrangian, but also on effective parameters, for example, on effective mass and effective coupling constant, which are computed from the  $S$ -matrix and which already define it uniquely. And any change of effective parameters is equivalent to certain change of parameters of initial Lagrangian.

In a similar manner one constructs the apparatus of the operator and scalar Green functions, with the help of the Lagrangian

$$(118) \quad F(x^\mu, u, u_{x^\mu}) = \frac{1}{2} u_{x^\mu} u_{x_\mu} - \frac{m^2}{2} u^2 - \frac{g(x)}{4!} u^4 - \mathbf{j}(x)u.$$

Their construction, including the subtraction procedure, does not yield new difficulties, and is made similarly to what is done in the Bogolyubov–Shirkov's book.

Note that the above constructed apparatus of the  $S$ -matrix and conditions on it are analogous to the scattering theory in the theory of partial differential equations, where, given the coefficient functions of the equation, one is required to determine the properties of the evolution operator from  $t = -\infty$  to  $t = \infty$ .

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